

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

William J. Barile

Claimant(s)

vs.

Robert H. Joyce

Respondent(s)

NOTICE OF ARBITRATION
AWARD
87-02157

CASE SUMMARY

Claimant(s) William J. Barile alleged that Respondent(s) Robert H. Joyce withheld stock certificates. Respondent(s) maintains that he was improperly named as a Respondent in this dispute.

RELIEF REQUESTED

Claimant(s) William J. Barile requested damages of One Thousand Three Hundred Dollars and No Cents (\$1,300.00). Respondent(s) requested dismissal of claim and costs and reasonable attorney's fees in the amount of Five Hundred Dollars and No Cents (\$500.00) from the Claimant.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Richard J. Meunier, Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on November 2, 1987 and by Respondent(s) on July 5, 1989. The Arbitrator, having considered the proofs of the parties, has determined in full and final resolution of the issue submitted for determination as follows:

The claim of the Claimant is hereby dismissed in all respects.

Also, the counterclaim of the Respondent is hereby dismissed in all respects.

The parties shall each bear their respective costs including attorney's fees.

The \$25.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) will be retained by the NASD, Inc. as costs of this proceeding.

August 23, 1989