

NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.

In the Matter of the Arbitration Between

Robert Hamill

Claimant

} Case #87-02218

vs.

} Award

South Richmond Securities, Inc.

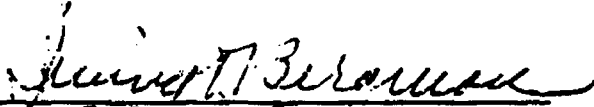
Respondent

The undersigned, being the Arbitrator selected to review and determine a matter in controversy between the above-mentioned Claimant and Respondent, set forth in submissions to Arbitration signed by the parties on November 12, 1987 and March 25, 1988, respectively;

And, having reviewed and considered the proofs of the parties, has decided and determined that, in full and final settlement of the above-captioned matter, the Respondent is liable and shall pay to Claimant the sum of Four Thousand Five Hundred Dollars (\$4500.00);

And, that each party shall bear its own costs and expenses, including attorneys' fees;

And, that the \$100.00 filing fee previously deposited with the N.A.S.D., Inc. by the Claimant shall be retained by the N.A.S.D., Inc. for the costs of this proceeding.


Irving T. Bergman, Esq.

Date: July 20, 1989

