

NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.

In the Matter of the Arbitration Between

Dean Witter Reynolds, Inc.

Claimant(s)

and

Jeffrey Bruhjell

Respondent(s)

CASE NO. 87-02543

CASE SUMMARY

Dean Witter Reynolds, Inc. ("Claimant") alleged that Jeffrey ("Bruhjell") had a debit balance with the Claimant in the amount of 19,998.47, plus interest of \$6,973.81. Claimant also requested attorneys' fees and costs.

On December 9, 1987 the NASD received the Claimants' Statement of Claim. On October 5, 1989, the undersigned arbitrators heard the controversy between the parties as set forth in submissions to arbitration signed by Erica Bunin on behalf of the Claimant on October 30, 1987. Bruhjell was served with the Statement of Claim as evidence by his signature on the return receipt certificate on February 1, 1988. Mr. Bruhjell was also sent notice of the hearing. Bruhjell failed to answer the Statement of Claim and further failed to appear at the hearing. The hearing was held in one session in Minneapolis, Minnesota.

The arbitration panel, having considered the pleadings, the testimony, and the evidence presented at the hearing, has decided in full and final resolution of the issues submitted for determination as follows:

1. The panel awards damages of Twenty-Eight Thousand Dollars (\$28,000) to the Claimant against the Respondent Jeffrey Bruhjell;
2. The parties shall bear their own costs including attorneys' fees;

3. Pursuant to Section 43(b) of the Code of Arbitration Procedure, the National Association of Securities Dealers, Inc. shall retain as forum fees, the \$400.00 filing fee previously deposited with the NASD by the Claimant.

By the Panel

Dated: 10-5-89 /S/ Peter W. Anson, Esq.
Presiding Chair

Dated: 10-11-89 /S/ Thomas Donald Redmond

Dated: 10-12-89 /S/ Bruce C. Huber

Date Served: 11-3-89