

NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.

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In the Matter of the Arbitration Between

Ann Dorst

Claimant

)  
) Case #87-02761  
)

vs.

)  
) Award  
)

Drexel Burnham Lambert, Inc.

Respondent

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Heard before the members of the Arbitration Panel:

On May 18, 1989, in San Francisco the arbitrators heard the controversy between the parties as set forth in submissions to arbitration signed by Claimant on Ann Dorst on May 16, 1988, and by Respondent Drexel Burnham Lambert Inc., on August 12, 1988. The arbitration panel, having considered the pleadings, the testimony, and the evidence presented at the hearing, has determined in full and final resolution of the issues submitted for determination as follows:

1. All claims of Claimant Ann Dorst, including the claim for punitive damages is dismissed.

2. The parties shall each bear their respective costs including attorneys' fees.

3. Respondent Drexel Burnham Lambert, Inc., is assessed the sum of \$1500.00 in forum fees, payable to the National Association of Securities Dealers, Inc.

4. Pursuant to Section 43 of the Code of Arbitration Procedure, the National Association of Securities Dealers, Inc. shall retain the \$750.00 filing fee previously deposited by the Claimant.

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Date Served: May 22, 1989  
San Francisco, California