

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Deborah McCall Jones,
Cust. for Eric James McCall

Claimant(s)

vs.

Prudential-Bache Securities, Inc.
and Ronald Edwards

Respondent(s)

NOTICE OF
ARBITRATION
AWARD
88-00049

CASE SUMMARY

Claimant(s) Deborah McCall Jones, Cust. for Eric James McCall alleged that Respondent(s) Prudential-Bache Securities, Inc. and Ronald Edwards made unauthorized trades and churned Claimants account. Respondent(s) categorically denies the allegations contained in the statement of claim. Respondent Ronald Edwards did not respond.

RELIEF REQUESTED

Claimant(s) Deborah McCall Jones, Cust. for Eric James McCall requested damages of One Thousand Four Hundred Ninety Three Dollars and No Cents (\$1,493.00). Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Deena Powell, was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on January 5, 1988 and by Respondent(s) on May 24, 1988, respectively;

And, that the Arbitrator, having considered the proofs of the Parties, including any additional documentary submissions of the Claimant(s) and the Respondents(s) has decided and determined in full and final resolution of the issue submitted for determination as follows:

The claim of the Claimant is hereby dismissed in all respects.

The parties shall each bear their respective costs including attorney's fees.

The \$25 filing fee previously deposited with the NASD, Inc. by the Claimant(s) shall be retained by the NASD as costs of this proceeding.

January 30, 1990