

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Andrew W. Eberhart

Claimant(s)

vs.

Broker Securities, Inc.

Respondent(s)

NOTICE OF ARBITRATION  
AWARD  
88-00161

The Arbitrator, Larry J. Dagenhart Esq., selected to review and determine a matter in controversy between the above-mentioned Claimant and Respondents, set forth in a submission to arbitration signed by the parties on March 7, 1988 and June 10, 1988, respectively;

And, that having reviewed and considered the proofs of the parties, has decided and determined that in full and final settlement of the above-captioned matter, that the Respondent is liable and will pay to the Claimant the amount of One Hundred Eighty Seven Dollars and Fifty Cents (\$187.50) which is the difference between 2-1/2 and 2-7/8 on 500 shares of Horizon Gold;

And, that each party shall bear its own cost and expenses including attorney's fees;

And, that the \$15.00 filing fee previously deposited with the NASD, Inc. by the Claimant shall be refunded to the Claimant by the Respondent.