

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Harris Securities, Inc.

Claimant(s)

vs.

Donna E. and John Linyard
TEN in Common

Respondent(s)

AWARD

88-00338

The Arbitrator, Larry J. Dagenhart, Esq., having been selected to review and determine a matter in controversy between the above-mentioned Claimant and Respondents, set forth in a submission to arbitration signed by the claimant on January 27, 1988 and not by the Respondents as required by Section 12(a) of the NASD Inc. Code of Arbitration Procedure;

And, that having reviewed and considered the proofs of the parties, has decided and determined that in full and final settlement of the above-captioned matter, that the Respondents are liable and will pay to the Claimant the sum of Seven Hundred Ninety Eight Dollars and Twenty-Four Cents (\$798.24);

And, that each party shall bear its own cost and expenses including attorney's fees;

And, that the \$15.00 filing fees previously deposited with the NASD, Inc. by the Claimant shall be refunded by the Respondents.

Dated:

5/31/89