

NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.

In the Matter of the Arbitration Between

Don B. Larsen & Tom B. Larsen

Claimant

Case #88-00381

vs.

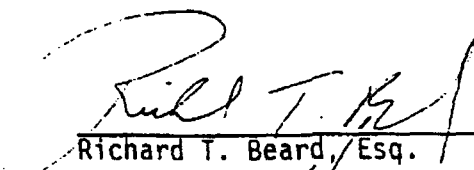
Award

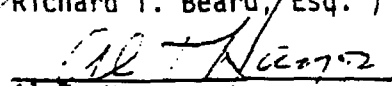
E.F. Hutton & Co., Inc.,
Hugh Fuller, Jim Nielsen

Respondent

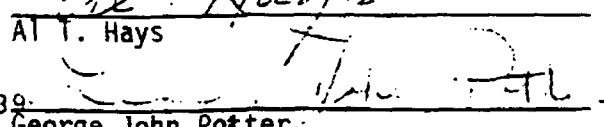
On January 26, 1989, the undersigned arbitrators heard the controversy between the parties as set forth in submissions to arbitration signed by Claimants on January 22, 1988, by Respondents Hugh Fuller on May 10, 1988, and by Respondent Jim Nielsen on May 10, 1988. The arbitration panel, having considered the pleadings, the testimony, and the evidence presented at the hearing, has determined in full and final resolution of the issues submitted for determination as follows:

1. Respondent E.F. Hutton is solely liable for and shall pay to Claimant Don B. Larsen the sum of One Thousand, Eight Hundred Twenty-Eight Dollars and Forty Cents (\$1828.40).
2. Respondent E.F. Hutton is solely liable for and shall pay to Claimant Tom B. Larsen the sum of Three Thousand, Eight Hundred Twenty-Eight Dollars and Fifteen Cents (\$3828.15).
3. Each and every claim against Respondent Hugh Fuller is dismissed.
4. Each and every claim against Respondent Jim Nielsen is dismissed.
5. The parties shall each bear their respective costs including attorneys' fees.
6. Pursuant to Section 43 of the Code of Arbitration Procedure, the National Association of Securities Dealers, Inc. shall retain the \$200 filing fee previously deposited by the Claimant.

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Richard T. Beard, Esq.


Al T. Hays

DATE SERVED: March 3, 1989


George John Potter