

**NATIONAL ASSOCIATION OF SECURITIES DEALERS**

**In the Matter of the Arbitration Between**

**Wedbush Securities, Inc.**

**vs.**

**Claimant(s)**

**Barbara Mederios and  
Daniel Mederios**

**Respondent(s)**

**NOTICE OF ARBITRATION**

**AWARD**

**88-00488**

**CASE SUMMARY**

Claimant(s) Wedbush Securities, Inc. alleged that Respondent(s) Barbara Mederios and Daniel Mederios were unjustly enriched because of an error on the part of the Claimant. Respondent(s) maintain that there are additional errors in the handling of their account that need to be resolved before any balance can be paid.

**RELIEF REQUESTED**

Claimant(s) Wedbush Securities, Inc. requested damages of Two Thousand Eight Hundred Fourteen Dollars and No Cents (\$2,814.00). Respondent(s) requested dismissal of claim and costs.

**AWARD**

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Joyce Levinson, was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on February 2, 1988 and not by the Respondents as required by Section 12(a) of the NASD Inc. Code of Arbitration Procedure, respectively;

And, that the Arbitrator, having considered the proofs of the Parties, including any additional documentary submissions of the Claimant(s) and the Respondents(s) has decided and determined in full and final resolution of the issue submitted for determination as follows:

The Respondents are liable and shall pay the sum of Two Thousand Eight Hundred Fourteen Dollars and No Cents (\$2,814.00) to the Claimant.

The parties shall each bear their respective costs including attorney's fees.

The \$100.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) shall be retained by the NASD as costs of this proceeding.

October 31, 1989