

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Perry C. Hisken, Jr.

Claimant(s)

vs.

Charles Schwab & Co., Inc.

Respondent(s)

AWARD


88-00556

The undersigned, having been selected to review and determine a matter in controversy between the above-mentioned Claimant and Respondents, set forth in a submission to arbitration signed by the parties on February 5, 1988 and April 11, 1988, respectively;

And, that having reviewed and considered the proofs of the parties, has decided and determined that in full and final settlement of the above-captioned matter, that the claim against Respondent shall be dismissed, in its entirety;

And, that each party shall bear its own cost and expenses including attorney's fees;

And, that the \$25.00 filing fees previously deposited with the NASD, Inc. by the Claimant shall be retained by the NASD for administrative costs.

  
Michael R. Scott