

NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.

In the Matter of the Arbitration Between

Douglas E. Campbell and Wanda P. Campbell

Claimants

vs.

Roger Riley
PaineWebber, Inc.

Respondents

Case #88-00635

Award

The undersigned, being the Arbitrator selected to review and determine a matter in controversy between the above-mentioned Claimants and Respondents, set forth in submissions to arbitration signed by the parties February 12, 1988 , April 21, 1988 and April 27, 1988, respectively;

And, having reviewed and considered the proofs of the parties, has decided and determined that in full and final settlement of the above-captioned matter, the Respondents are jointly and severally liable for and shall pay to the Claimants the sum of Two Thousand and Ninety-Four Dollars and Forty-Two Cents(\$2,094.42);

And, that each party shall bear its own costs and expenses including attorneys' fees;

And, that the \$25.00 filing fee previously deposited with the N.A.S.D., Inc. by the Claimants shall be refunded to the Claimants.



Winnie P. Pannell, Esq.

Dated: May 31, 1989