

In the Matter of the Arbitration Between

Claimant

vs.

Award

Respondent

On April 18, 1989 and May 16, 1989, the undersigned arbitrators heard the controversy between the parties as set forth in submissions to arbitration signed by Claimant on March 4, 1988, and by Respondent Thompson McKinnon Securities, on September 22, 1988. Respondent Robert S. Johnson signed in the presence of the panel on April 18, 1989. The arbitration panel, having considered the pleadings, the testimony, and the evidence presented at the hearing, has determined in full and final resolution of the issues submitted for determination as follows:

1. Each and every Claim of Claimant against Respondents is dismissed.
2. The parties shall each bear their respective costs including attorneys' fees.
3. Pursuant to Section 43 of the Code of Arbitration Procedure, the National Association of Securities Dealers, Inc. shall retain the \$400.00 filing fee previously deposited by the Claimant.

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NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.

In the Matter of the Arbitration Between

Malcolm Gissen, Trustee

Claimant

Case #88-00770

YS.

Award

Thomson McKinnon Securities, Inc.
Robert S. Johnson

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