

NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.


In the Matter of the Arbitration Between	}	A W A R D
Loris Troup		
Claimant		
and		
E. F. Hutton and Co., Inc. and Stacy M. Johnson		
Respondents	}	88-01097

On Thursday, May 19, 1989, in Scottsdale, Arizona, the undersigned arbitrators heard the controversy between the parties as set forth in submissions to arbitration signed on March 30, 1988 by Claimant Loris Troup, on October 17, 1988 by Bari Jane Wolfe, Assistant Secretary and Associate General Counsel on behalf of Respondent E. F. Hutton and Co., Inc. n/k/a Shearson Lehman Hutton and on October 17, 1988 by Respondent Stacy M. Johnson.

The arbitration panel, having considered the pleadings, the testimony, and the evidence presented at the hearing, has decided in full and final resolution of the issues submitted for determination as follows:

1. The claim asserted against Respondents E. F. Hutton and Co., Inc. and Stacy M. Johnson by Claimant Loris Troup shall be and is hereby dismissed in its entirety;
2. The parties shall each bear their respective costs and expenses including any attorney's fees incurred in connection with this proceeding; and
3. Pursuant to Section 43(b) of the Code of Arbitration Procedure, the National Association of Securities Dealers, Inc. shall retain as forum fees the \$750.00 filing fee previously deposited with the NASD by the Claimant Loris Troup.

By the Panel

  
Charles R. Berry, Chairman

  
Mark W. Romney, Esq.

  
Oran R. Brown