

NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.

In the Matter of the Arbitration Between

William D. McKeighen and Ann E. McKeighen Claimants

vs.

Kennedy, Cabot & Co., Inc.

Respondent

Case #88-01214

Award

On April 5, 1989, the undersigned arbitrators heard the controversy between the parties as set forth in submissions to arbitration signed by Claimants on April 12, 1988, and by Respondent on June 16, 1988. The arbitration panel, having considered the pleadings, the testimony, and the evidence presented at the hearing, has determined in full and final resolution of the issues submitted for determination as follows:

1. Claimants' motion to amend their request for damages was granted by the panel at the hearing, to the amount of Nine Thousand Nine Hundred Sixty-Eight Dollars and Forty-Eight Cents (\$9,968.48).

2. Respondent is liable for and shall pay Claimants the sum of Nine Thousand Nine Hundred Sixty-Eight Dollars and Forty-Eight Cents (\$9,968.48).

3. The parties shall each bear their respective costs including attorneys' fees.


4. Pursuant to Section 43 of the Code of Arbitration Procedure, the National Association of Securities Dealers, Inc. shall retain the \$400.00 filing fee previously deposited by the Claimants, but said fee is assessed against Respondent to be paid to Claimants.

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Date Served: May 12, 1989


George M. Wiener


Ernest Ach


Dennis E. Wollan