

NATIONAL ASSOCIATION OF SECURITIES DEALERS

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In the Matter of the Arbitration Between	:	
William D. Hammond	:	
Sherryl Hammond	:	
	:	
vs.	:	Award
	:	#88-01247
	:	
PaineWebber, Inc.	:	
	:	
	:	

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The undersigned, being the Arbitrator selected to review and determine a matter in controversy between the above-mentioned Claimants and Respondent, set forth in submissions to arbitration signed by the parties on April 13, 1988 and July 14, 1988, respectively;

And, having reviewed and considered the proofs of the parties, has decided and determined that, in full and final settlement of the above-captioned matter, the Respondent is liable and shall pay to the Claimants the sum of Four Thousand Dollars (\$4,000.00);

And that each party shall bear its own costs and expenses, including attorneys fees;

And, that the \$100.00 filing fee previously deposited with the N.A.S.D., Inc. by Claimants shall be retained by the N.A.S.D., Inc. for the costs of this proceeding.

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James J. Sullivan, Esq.

August 11, 1989