

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Sandra L. Shushan

vs.

Claimant(s)

Douglas Bremen and Co.
vs. Mark Zimmerman

Respondent(s)

NOTICE OF ARBITRATION
AWARD
88-01256

The Arbitrator, Albert A. Rapoport, selected to review and determine a matter in controversy between the above-mentioned Claimant and Respondents, set forth in a submission to arbitration signed by the parties on April 12, 1988 and by the Respondents on June 30, 1988, and January 4, 1989 respectively;

And, that having reviewed and considered the proofs of the parties, has decided and determined that in full and final settlement of the above-captioned matter, that the claim of the Claimant shall be and hereby is dismissed in all respects;

That the cross-claim of Bremen & Co., Inc. against Zimmerman shall be and hereby is dismissed in all respects;

That the cross-claim of Zimmerman against Bremen and Co., Inc. shall be and hereby is dismissed in all respects;

That the "counter-claim" of Zimmerman against Claimant Shushan shall be and hereby is dismissed in all respects;

And, that each party shall bear its own cost and expenses including attorney's fees;

And, that the \$100.00 filing fees previously deposited with the NASD, Inc. by the Claimant shall be retained by the NASD, Inc. as costs of this proceeding.

Paragraph 4

(1) And that the \$100.00 filing fee previously deposited with the NASD Inc. by Claimant shall be retained by the NASD, Inc. as costs of this proceeding.

or

(2) And that in accordance with Section 43 of the Code, ~~are assessed against the Respondent.~~ Accordingly, the Respondent shall reimburse the Claimant \$ which represents the filing fee previously deposited.

Affirmation

STATE OF FLORIDA

} SS:
}

COUNTY OF PALM BEACH

I, ALBERT A. RAPAPORT, do hereby affirm upon my oath as arbitrator that I am the individual described in and who executed this instrument, which is my oath and award.

5/17/89

(DATE)

Albert A. Rapaport

(SIGNATURE OF ARBITRATOR)