

NATIONAL ASSOCIATION OF SECURITIES DEALERS

I. the Matter of the Arbitration Between

Andrew A. Allison

Claimant(s)

vs.

Fidelity Investments

Respondent(s)

**NOTICE OF ARBITRATION
AWARD
88-01319**

CASE SUMMARY

Claimant(s) Andrew A. Allison alleged that Respondent(s) Fidelity Investments committed unauthorized transactions and failed to execute an order on a timely basis. Respondent(s) denies Claimant's allegations.

RELIEF REQUESTED

Claimant(s) Andrew A. Allison requested damages of Two Thousand Six Hundred Fifty Six Dollars and Forty-Four Cents (\$2,656.44). Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Perry E. Wallace, Jr., Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on April 22, 1988 and by Respondent(s) on July 22, 1988. The Arbitrator, having considered the proofs of the parties, has determined in full and final resolution of the issue submitted for determination as follows:

The claim against the Respondent shall be dismissed in its entirety.

The parties shall each bear their respective costs including attorney's fees.

The \$25.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) shall be retained by the NASD, Inc. as costs of this proceeding.

September 27, 1989