

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Kathy M. Wheeler

Claimant(s)

vs.

The Bottom Line Group

Respondent(s)

AWARD  
88-01396

The Arbitrator, John W. Jerak, having been selected to review and determine a matter in controversy between the above-mentioned Claimant and Respondents, set forth in a submission to arbitration signed by the parties on April 18, 1988 and July 15, 1988, respectively;

And, that having reviewed and considered the proofs of the parties, has decided and determined that in full and final settlement of the above-captioned matter, that the Respondent is liable and shall pay the sum of One Thousand Five Hundred Dollars (\$1,500.00) to the Claimant;

And, that each party shall bear its own cost and expenses including attorney's fees;

And, that the \$100.00 filing fees previously deposited with the NASD, Inc. by the Claimant shall be refunded to the Claimant as assessed against the Respondent.