

NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.

In the Matter of the Arbitration Between

Irving & Mary Rappaport

Claimants

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) Case #88-01409
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)

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vs.

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) Award
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Fidelity Investments

Respondent

On May 23, 1989, the undersigned arbitrators heard the controversy between the parties as set forth in submissions to arbitration signed by Claimants Irving & Mary Rappaport on May 6, 1988 and by Respondent Fidelity Investments on October 27, 1988. The arbitration panel, having considered the pleadings, the testimony, and the evidence presented at the hearing, has determined in full and final resolution of the issues submitted for determination as follows:

1. Claims by the Claimants be and hereby are dismissed.
2. The parties shall each bear their respective costs including attorneys' fees.
3. Pursuant to Section 43 of the Code of Arbitration Procedure, the National Association of Securities Dealers, Inc. shall retain the \$400.00 filing fee previously deposited by the Claimant and assess an additional \$400.00 against Respondent Fidelity Investments.

Deborah Sherman
Deborah Sherman

David Adler
David Adler

Joseph E. DaGrosa
Joseph E. DaGrosa