

NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.

In the Matter of the Arbitration Between

Samson Tabrizi Claimant

vs.

Merrill Lynch, Pierce,
Fenner & Smith, Inc.,
Merrill Lynch Capital Markets
Securities Research Division,
Charles A. Bradford and Jack
Kreider Respondents

NOTICE OF CORRECTION
OF AWARD

CASE NO. 88-01601

The award dated November 16, 1989, contains an incorrect rate of interest. Paragraph 1 should read:

1. Respondent Merrill Lynch, Pierce, Fenner & Smith, Inc. is solely liable for and shall pay to Claimant the sum of Eighteen Thousand, Nine Hundred Dollars and No Cents (\$18,900.00), plus interest in the amount of Five Thousand, Three Hundred Sixty-Three Dollars and Ninety-Six Cents (\$5,363.96).

AFFIDAVIT OF SERVICE

State of California)
) ss.
County of San Francisco)

I, Jerome McDonough, hereby declare, depose and state under penalty of perjury that:

1. I am over eighteen years of age, am not a party to the arbitration proceedings, and am employed as a temporary worker by the National Association of Securities Dealers, Inc. in San Francisco, California.

2. On Dec. 20, 1989, I enclosed a true, accurate and complete copy of:

Notice of Correction of Award
Samson Tabrizi vs. Merrill Lynch, Pierce, Fenner & Smith, Inc. et al.
NASD Arbitration SO-01601

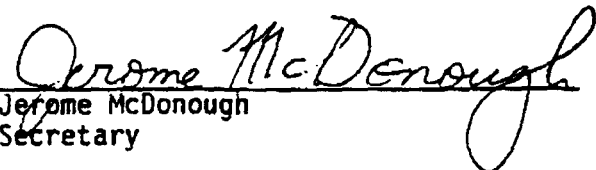
in a properly addressed wrapper, with postage prepaid, and deposited it in a post office or official depository under the exclusive care and custody of the United States Postal Service within this state. The addressee(s) and the address(es) to which the above document(s) was/were sent is/are:

Ronald K. Losch, Esq.
300 Montgomery Street, Suite 435
San Francisco, CA 94104

Joseph Decker, Esq.
Orrick, Herrington & Sutcliffe
400 Sansome Street
San Francisco, CA 94111

3. The address(es) set forth in paragraph 2 is/are the address(es) designated for that purpose by a party/parties to the arbitration or its/their counsel or is/are the last known address(es) thereof.

4. I declare under penalty of perjury, pursuant to 28 U.S.C. 1746, that the foregoing is true.


Jerome McDonough
Secretary