

NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.

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In the Matter of the Arbitration Between

Dr. Leonard J. Corwin

Claimant

vs.

Case #88-01797

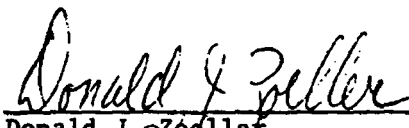
AWARD

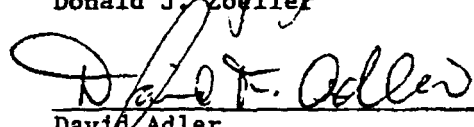
Copeland Securities, Inc. and  
Charles Tassanari

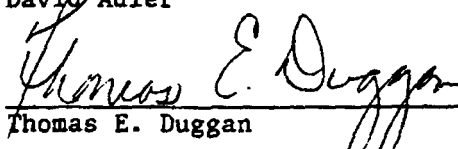
Respondents  
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On January 27th, 1989, the undersigned arbitrators heard the controversy between the parties as set forth in submissions to arbitration signed by Claimant, Dr. Leonard J. Corwin on May 27th, 1988, and by Respondent, Copeland Securities, Inc. on August 31st, 1988, and by Respondent, Charles Tassanari on January 27th, 1989. The arbitration panel, having considered the pleadings, the testimony, and the evidence presented at the hearing, has determined in full and final resolution of the issues submitted for determination as follows:

1. The Respondent, Copeland Securities, Inc. be and hereby is liable and shall pay to the Claimant, Dr. Leonard J. Corwin the sum of Seven Thousand Eight Hundred Twelve Dollars and Fifty Cents (\$7,812.50).
2. The parties shall each bear their respective costs including attorneys' fees.
3. Pursuant to Section 43 of the Code of Arbitration Procedure, the National Association of Securities Dealers, Inc. shall retain the \$400.00 filing fee previously deposited by the Claimant. The Respondent, Copeland Securities, Inc. shall pay to the Claimant the sum of \$400.00 to offset the filing fee paid by the Claimant.

  
Donald J. Zoeller

  
David Adler

  
Thomas E. Duggan

Dated: May 26th, 1989