

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Joseph A. Everett

vs.

Claimant(s)

E.F. Hutton & Co., Inc.  
and Leonard Greenstein

Respondent(s)

NOTICE OF ARBITRATION  
AWARD  
88-01853

CASE SUMMARY

Claimant(s) Joseph A. Everett alleged that Respondent(s) E.F. Hutton & Co., Inc. and Leonard Greenstein misrepresented facts concerning the future of a company. Respondent(s) deny the material allegations contained in Claimant's Statement of Claim.

RELIEF REQUESTED

Claimant(s) Joseph A. Everett requested damages of Four Thousand Six Hundred Thirty One Dollars and No Cents (\$4,631.00). Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Andrew Reegen, Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on May 18, 1988 and by Respondent(s) on August 19, 1988 and July 21, 1988, respectively. The Arbitrator, having considered the proofs of the parties, has determined in full and final resolution of the issue submitted for determination as follows:

That the claim of the Claimant shall be and hereby is dismissed in all respects.

The parties shall each bear their respective costs including attorney's fees.

The \$100.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) will be retained by the NASD as costs of this proceeding.

August 18, 1989