

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Wendy Ann Fisher

Claimant(s)

vs.

Morgan Keegan & Co.

Respondent(s)

AWARD

88-01874

The Arbitrator, Janet Weiss, selected to review and determine a matter in controversy between the above-mentioned Claimant and Respondents, set forth in a submission to arbitration signed by the parties on July 18, 1988 and September 2, 1988, respectively;

And, that having reviewed and considered the proofs of the parties, has decided and determined that in full and final settlement of the above-captioned matter, that the Respondent is liable and shall pay the sum of Two Thousand Nine Hundred and Ninety Four Dollars and No Cents (\$2,994.00) to the Claimant plus interest of 7.5% from September 5, 1986 to July 16, 1987 and interest of 10% from July 16, 1987 to the date of this award;

And, that each party shall bear its own cost and expenses including attorney's fees;

And, that the \$200.00 filing fees previously deposited with the NASD, Inc. by the Claimant shall be refunded to Claimant by the Respondent.

May 31, 1989