

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Lev Gertzman

Claimant(s)

vs.

Midlantic Brokerage Services, Inc.  
and BHC Securities, Inc.

Respondent(s)

AWARD

88-01939

The Arbitrator, Kent Mastores being the Arbitrator selected to review and determine a matter in controversy between the above-mentioned Claimant and Respondents, set forth in a submission to arbitration signed by Lev Gertzman and BHC Securities Inc. on June 9, 1988 and July 22, 1988 but not by Midlantic Brokerage Services, Inc. as required by the Section 12(a) of the NASD, Inc. Code of Arbitration Procedure;

And, that having reviewed and considered the proofs of the parties, has decided and determined that in full and final settlement of the above-captioned matter, that the claim of the Claimant is dismissed in all respects;

And, the claim of BHC Securities, Inc. for attorney's fees is denied;

And, that each party shall bear its own cost and expenses including attorney's fees;

And that the \$25.00 filing fees previously deposited with the NASD, Inc. by the Claimant shall be refunded to the Claimant jointly by the Respondents.