

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Joseph J. Stronko

Claimant(s)

vs.

AWARD

88-01978

Michelin & Co., Inc.  
J. W. Gant & Assocs., Inc.  
and Robert Carlin

Respondent(s)

The Arbitrator, Anne Cugliani, selected to review and determine a matter in controversy between the above-mentioned Claimant and Respondents, set forth in a submission to arbitration signed by the Claimant on June 16, 1988 and not by the Respondents as required by Section 12(a) of the NASD, Inc. Code of Arbitration Procedure;

And, that having reviewed and considered the proofs of the parties, has decided and determined that in full and final settlement of the above-captioned matter, that the three (3) Respondents are jointly and severally liable and will pay to the Claimant the sum of One THousand Nine Hundred Six Dollars and No Cents (\$1,906.00);

And, that in the matter of the counter-claim by J.W. Gant & Assoc., Inc. against Michelin & Co., Inc. and Robert Carlin, Respondents Michelin and Carlin are jointly and severally liable and will pay to the Respondent J. W. Gant & Assoc., Inc. the sum of Seven Hundred Thirty one Dollars and No Cents (\$731.00);

And, that each party shall bear its own cost and expenses including attorney's fees;

And, that the \$25.00 filing fees previously deposited with the NASD, Inc. by the Claimant will be refunded to the Claimant by the Respondents.