

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Jonathan Lumb

vs.

Claimant(s)

Blinder, Robinson & Co., Inc.

Respondent(s)

NOTICE OF ARBITRATION

AWARD

88-02030

CASE SUMMARY

Claimant Jonathan Lumb alleged that Respondent Blinder, Robinson & Co., Inc. made misrepresentations with respect to an investment. Respondent failed to respond to the claim within the time mandated by the NASD's Code of Arbitration Procedure.

RELIEF REQUESTED

Claimant Jonathan Lumb requested damages of \$2,110.00.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Janet Weiss, Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant on July 25, 1988 and not by Respondent as required by Section 12(a) of the NASD, Inc. Code of Arbitration Procedure. The Arbitrator, having considered the proofs of the parties, has determined in full and final resolution of the issue submitted for determination as follows:

The Respondent is liable and shall pay the sum of Two Thousand One Hundred Ten Dollars (\$2,110.00) plus interest of 10% from February 23, 1987 until the date of payment and Thirty Dollars (\$30.00) in expenses.

The parties shall each bear their respective costs including attorney's fees.

The \$25.00 filing fee previously deposited with the NASD, Inc. by the Claimant shall be refunded to the Claimant by the Respondent.

June 16, 1989