

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

David E. Crosby

Claimant(s)

vs.

A.G. Edwards & Sons, Inc.

Respondent(s)

AWARD

88-02067

The Arbitrator, Janet Weiss, selected to review and determine a matter in controversy between the above-mentioned Claimant and Respondents, set forth in a submission to arbitration signed by the parties on June 9, 1988 and November 14, 1988, respectively;

And, that having reviewed and considered the proofs of the parties, has decided and determined that in full and final settlement of the above-captioned matter, that the Respondent is liable and shall pay the sum of Five Hundred Ninety Six Dollars and Twenty-Six Cents (\$596.26) to the Claimant, plus interest of 10% from October 16, 1987 until the date of this award;

And, that each party shall bear its own cost and expenses including attorney's fees;

And, that the \$15.00 filing fees previously deposited with the NASD, Inc. by the Claimant shall be refunded to the Claimant.

May 31, 1989