

In the Matter of the Arbitration Between

Claimants

Case #88-02102

Respondents

1. Respondents Prudential Bache Securities, Inc. and Prudential Bache Research Fund shall be liable for and shall pay to Claimants Doyle W. and Sara A. Griffeth the sum of Thirteen Thousand Nine Hundred and Sixty Two Dollars and One Cent (\$13,962.01), such amount representing actual damages including interest;
2. Respondents shall be liable and shall pay to the Claimants the sum of Seven Thousand Thirty One Dollars and Sixty Nine Cents (\$7,031.69), such amount representing the Claimants attorneys' fees;
3. The parties shall each bear their respective costs;
5. Pursuant to Section 43 of the Code of Arbitration Procedure, the National Association of Securities Dealers, Inc. shall retain the Four Hundred Dollar and No Cent (\$400.00) filing fee previously deposited by the Claimants.

Frank A. Lightmas, Jr.
Marshall H. Lichtenstein
William C. Jones

DATED: May 23, 1989