

NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.

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In the Matter of the Arbitration Between )

Kianoosh F. Bullock, )

Claimant. )

vs. )

Charles Schwab & Co., Inc., )

Respondent. )

Case #88-02229

Award

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On May 9, 1989, the undersigned arbitrator heard the controversy between the parties as set forth in submissions to arbitration signed by Claimant on July 8, 1988, and by Scott Feldman for Respondent Charles Schwab & Co., Inc. on September 9, 1988. The arbitration panel, having considered the pleadings, the testimony, and the evidence presented at the hearing, has determined in full and final resolution of the issues submitted for determination as follows:

1. Respondent Charles Schwab & Co., Inc., shall not be and is hereby not liable to the Claimant and, therefore, all claims against it shall be and are hereby dismissed.
2. The parties shall each bear their respective costs including attorneys' fees.
3. Pursuant to Section 43 of the Code of Arbitration Procedure, the National Association of Securities Dealers, Inc. shall refund the Twenty-Five and 00/100 (\$25.00) Dollar filing fee previously deposited by the Claimant.

  
Jackson L. Morris, Esq.

Dated: May 24, 1989