

NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.

In the Matter of the Arbitration Between

First Potomac Securities Corporation

Claimant

vs.

Jerry A. Tyler

Respondent

Case #88-02270


Award

The undersigned, being the Arbitrator selected to review and determine a matter in controversy between the above-mentioned Claimant and Respondent, set forth in a submission to arbitration signed by the Claimant on July 6, 1988 but not signed by the Respondent, and the Respondent having failed to submit an answer despite due notice being given;

And, having reviewed and considered the proofs of the parties, has decided and determined that, in full and final settlement of the of the above-captioned matter, the Respondent is liable and shall pay to the Claimant the sum of Four Thousand Six Hundred and Thirty-four Dollars and Fifty-five Cents(\$4,634.55);

And, that each party shall bear its own costs and expenses, including attorneys' fees;

And, that the \$100.00 filing fee previously deposited with the N.A.S.D., Inc. by the Claimant shall be retained by the N.A.S.D., Inc. for the costs of this proceeding.


Robert Bennett

Dated: December 8, 1989