

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Lawrence Rabideau

Claimant(s)

vs.

North American Investment Corp.

vs.

James Greenbaum

Respondent(s)

AWARD

88-02271

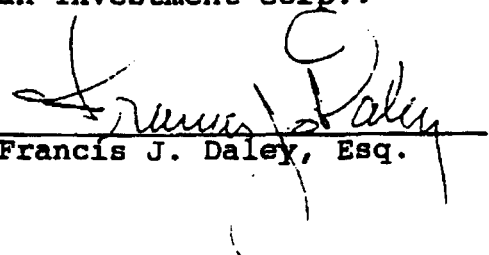
The undersigned, having been selected to review and determine a matter in controversy between the above-mentioned Claimant and Respondents, set forth in a submission to arbitration signed by the parties on June 24, 1988 and October 11, 1988, respectively, and not by James Greenbaum as required by Section 8 of the NASD, Inc. Code of Arbitration Procedure;

And, that having reviewed and considered the proofs of the parties, has decided and determined that in full and final settlement of the above-captioned matter, that Respondent North American Investment Corp. is liable and will pay to the Claimant the Sum of Two Thousand Eight Hundred Sixty Nine Dollars and No Cents (\$2,869.00);

And, that the cross-claim against James Greenbaum is dismissed in all respects;

And, that each party shall bear its own cost and expenses including attorney's fees;

And, that the \$100.00 filing fees previously deposited with the NASD, Inc. by the Claimant shall be refunded to the Claimant by Respondent North American Investment Corp..

  
Francis J. Daley, Esq.

February 7, 1989