

NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.

In the Matter of the Arbitration Between

Richard Queirolo

Claimant

vs.

Shearson Lehman Brothers, Inc.

Respondent

}
} Case #88-02284
}

}
} Award
}

On May 15, 1989, the undersigned arbitrators heard the controversy between the parties as set forth in submissions to arbitration signed by Claimant on July 12, 1988, and by Respondent on February 27, 1989. The arbitration panel, having considered the pleadings, the testimony, and the evidence presented at the hearing, has determined in full and final resolution of the issues submitted for determination as follows:

1. Each and every claim of Claimant against Respondent is dismissed.
2. The parties shall each bear their respective costs including attorneys' fees.
3. Pursuant to Section 43 of the Code of Arbitration Procedure, the National Association of Securities Dealers, Inc. shall refund the \$400.00 filing fee previously deposited by the Claimant. The sum of \$400.00 is assessed against Respondent and shall be paid to the National Association of Securities Dealers, Inc.

.....

Date Served: May 25, 1989

John B. Reilly

Robert A. Haveman

Ed H. Sanborn IV

Edward H. Sanborn IV

NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.

In the Matter of the Arbitration Between

Richard Queirolo

Claimant

)
Case #88-02284

vs.

)
Award

Shearson Lehman Brothers, Inc.

Respondent

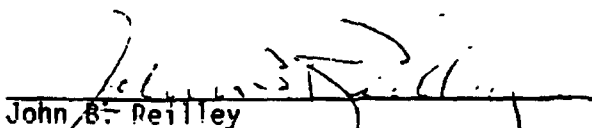
On May 15, 1989, the undersigned arbitrators heard the controversy between the parties as set forth in submissions to arbitration signed by Claimant on July 12, 1988, and by Respondent on February 27, 1989. The arbitration panel, having considered the pleadings, the testimony, and the evidence presented at the hearing, has determined in full and final resolution of the issues submitted for determination as follows:

1. Each and every claim of Claimant against Respondent is dismissed.
2. The parties shall each bear their respective costs including attorneys' fees.

3. Pursuant to Section 43 of the Code of Arbitration Procedure, the National Association of Securities Dealers, Inc. shall refund the \$400.00 filing fee previously deposited by the Claimant. The sum of \$400.00 is assessed against Respondent and shall be paid to the National Association of Securities Dealers, Inc.

.....

Date Served: May 25, 1989


John B. Reilly

Robert A. Haveman

Edward H. Sanborn IV

NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.

In the Matter of the Arbitration Between

Richard Queirolo

Claimant

Case #88-02284

VS.

Award

Shearson Lehman Brothers, Inc.

Respondent

On May 15, 1989, the undersigned arbitrators heard the controversy between the parties as set forth in submissions to arbitration signed by Claimant on July 12, 1988, and by Respondent on February 27, 1989. The arbitration panel, having considered the pleadings, the testimony, and the evidence presented at the hearing, has determined in full and final resolution of the issues submitted for determination as follows:

1. Each and every claim of Claimant against Respondent is dismissed.
2. The parties shall each bear their respective costs including attorneys' fees.
3. Pursuant to Section 43 of the Code of Arbitration Procedure, the National Association of Securities Dealers, Inc. shall refund the \$400.00 filing fee previously deposited by the Claimant. The sum of \$400.00 is assessed against Respondent and shall be paid to the National Association of Securities Dealers, Inc.

• • • • •

Date Served: May 25, 1989

John B. Reilly

Robert A. Haveman

Edward H. Sanborn IV