

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Dr. Steven A. Tilliss and
George R. Bollinger, Jr.

Claimant(s)

vs.

George Huff

Respondent(s)

NOTICE OF ARBITRATION
AWARD
88-2285

The Arbitrator, Gerald Thomas Grady, Jr., selected to review and determine a matter in controversy between the above-mentioned Claimant and Respondents, set forth in a submission to arbitration signed by the claimants on June 21, 1988 and not by the Respondent as required in Section 12(a) of the NASD Code of Arbitration Procedure.

And, that having reviewed and considered the proofs of the parties, has decided and determined that in full and final settlement of the above-captioned matter, that the Respondent is liable and will pay to the Claimants the sum of One Thousand Five Hundred Dollars (\$1,500.00) plus interest at 10% per year from December 1, 1982 to settlement of claim;

And, that each party shall bear its own cost and expenses including attorney's fees;

And, that the \$25.00 filing fees previously deposited with the NASD, Inc. by the Claimant refunded by the NASD, Inc. by the Respondent.

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Claimant

and

George Huff

Respondent(s)

MAY 22 1989

OATH OF
ARBITRATOR

78-02285

Having been selected as an arbitrator to consider the matter in controversy between the above-captioned parties, I solemnly swear and affirm that:

- I am not an employer of, employed by or related by blood or marriage to any of the parties or witnesses whose names have been disclosed to me, and
- I have no interest directly or indirectly in this proceeding, and
- I know of no existing or past financial, business, professional, family or social relationship which would impair me from performing my duties, and
- I shall consider and decide the controversy faithfully and fairly and render a just award to the best of my understanding.

Gerald T. Grady Jr.
Gerald T. Grady Jr.

STATE OF CALIFORNIA ss.:
COUNTY OF Santa Barbara

On this 18th day of May, 1989, before me personally appeared Gerald T. Grady Jr. to me known and known before me to be the individual described in and who executed the foregoing instrument and he duly acknowledged to me that he executed the same.



[Signature]