

NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.

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IN THE MATTER OF THE ARBITRATION BETWEEN :

Albert Cooperman :

Claimant :

Case #88-02397

vs. :

AWARD

Wedbush Securities, Inc. :

Respondent :  
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CASE SUMMARY

Claimant asserts an order transaction was not appropriately handled.  
Respondent asserts account was handled properly.

RELIEF REQUESTED

Claimant seeks unspecified damages. Respondent seeks dismissal of claim.

AWARD

On February 28, 1990 in one (1) session in Philadelphia, PA the undersigned arbitrator heard the controversy between the parties as set forth in submissions to arbitration signed by Claimant Albert Cooperman on June 27, 1988, and by Respondent Wedbush Securities, Inc. on October 13, 1988. The undersigned arbitrator listened to tapes of the hearing of August 28, 1989 and reviewed post hearing submissions, submitted after the unfortunate passing of the originally designated arbitrator. The arbitrator, having considered the pleadings, the testimony, and the evidence presented at the hearing and the post hearing submissions, has determined in full and final resolution of the issues submitted for determination as follows:

1. Claims by Claimant be and hereby are dismissed.
2. The parties shall each bear their respective costs, including attorney's fees.

3. Pursuant to Section 43 of the Code of Arbitration Procedure, the National Association of Securities Dealers, Inc. shall retain the \$100.00 filing fee previously deposited by the Claimant as costs of the initial hearing session conducted in this matter.

CONCURRING ARBITRATOR

  
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ALBERT MANCINI JR.

DATED: May 21, 1990