

NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.

In the Matter of Arbitration Between	}	AWARD NASD NO. 88-02499
ELIZABETH MENTE		
Claimant		
v.		
PRUDENTIAL-BACHE SECURITIES, INC. and WILLIAM SADLER		
Respondents		

On May 23, 1989 and April 28, 1989, the undersigned arbitrators heard the controversy between the parties as set forth in submissions to arbitration signed on July 30, 1988 by Claimant Elizabeth Mente, on September 8, 1988 by Charles Nicky Bank on behalf of Respondent Prudential Bache and on September 9, 1988 by Respondent William Sadler.

The arbitration panel, having considered the pleadings, the testimony, and the evidence presented at the hearing, has decided in full and final resolution of the issues submitted for determination as follows:

1. The claims asserted by Elizabeth Mente against Respondents Prudential-Bache Securities, Inc. and William Sadler are hereby dismissed in all respects;

2. The parties shall bear their own respective costs including attorney's fees;

3. Pursuant to Section 43(b) of the Code of Arbitration Procedure, the National Association of Securities Dealers, Inc. shall retain as forum fees the \$750.00 filing fee previously deposited with the NASD by the Claimant Elizabeth Mente.

BY THE PANEL

S/S Robert C. Bonges, Esq.
Presiding Chair

S/S Mr. James F. Wade

S/S Mr. Kenneth H. McLellan, Jr.

6-8-89