

NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.

In the Matter of the Arbitration Between

John Sabatini and Quinta Sabatini

Claimants

Case # 88-02516

vs.

Award

USAA-Brokerage Services
David Miller

Respondents

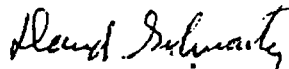
The undersigned, being the Arbitrator selected to review and determine a matter in controversy between the above-mentioned Claimants and Respondents, set forth in submissions to arbitration signed by the Claimants on August 4, 1988 and by the Respondent USAA-Brokerage Services on September 30, 1988, but not signed by the Respondent David Miller as required by Section 12(a) of the NASD Code of Arbitration Procedure;

And, having considered the proofs of the parties, has decided and determined that, in full and final settlement of the above-captioned matter, the Respondent USAA-Brokerage Services is liable and shall pay to the Claimants the sum of One Thousand Eight Hundred and Thirty-Two Dollars(\$1,832.00), but the claim of the Claimants against the Respondent David Miller is denied and dismissed in all respects;

And, that each party shall bear its own costs and expenses, including attorneys' fees;

And, that the \$100.00 filing fee previously deposited with the N.A.S.D., Inc. by the Claimant shall be retained by the N.A.S.D., Inc. for the costs of this proceeding.

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David Schwartz

July 6, 1989