

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Eric R. Schilt

Claimant(s)

vs.

D.H. Blair & Co., Inc.

Respondent(s)

NOTICE OF ARBITRATION
AWARD
88-02574

CASE SUMMARY

Claimant(s) Eric R. Schilt alleged that Respondent(s) D.H. Blair & Co., Inc. misrepresented material facts as to Claimants investment with Respondent. Respondent(s) denies Claimants' Allegations.

RELIEF REQUESTED

Claimant(s) Eric R. Schilt requested damages of Five Thousand Seven Hundred Seven Four dollars and No Cents (\$5,774.00). Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Mark E. Feierstein, Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on August 10, 1988 and by Respondent(s) on October 10, 1988, respectively;

And, that the Arbitrator, having considered the proofs of the Parties, including any additional documentary submissions of the Claimant(s) and the Respondents(s) has decided and determined in full and final resolution of the issue submitted for determination as follows:

The Respondent shall be Liable to Claimant for the amount of Five Thousand Five Hundred Seventy Four dollars and Seventy Five Cents (\$5,574.75).

The parties shall each bear their respective costs including attorney's fees.

The \$200.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) shall be retained by the NASD as costs of this proceeding.

October 3, 1989