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CORPORATE FINANCING

NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.

IN THE MATTER OF THE ARBITRATION between
PETER and FLORENCE ARIESSOHN

Claimant(s)

vs.

MERRILL LYNCH PIERCE FENNER &
& SMITH, INC.

Respondent(s)

AWARD

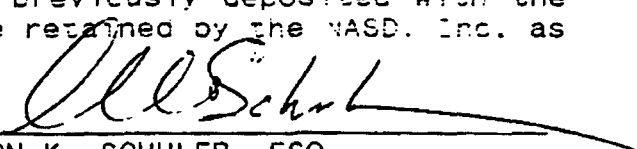
#22-02610

The undersigned being the Arbitrator selected to review and determine a matter in controversy between the above-mentioned Claimant(s) and Respondent(s), set forth in a submission to arbitration signed by the parties on August 11, 1988 and March 3, 1989, respectively.

And that having reviewed and considered the proofs of the Parties, has decided and determined that in full and final settlement of the above-captioned matter, the Respondent(s) shall pay the Claimant(s) the sum of One Thousand Seven Hundred Sixty-Five Dollars and Eighty-Nine Cents (\$1,765.99):

And that each party shall bear its own costs and expenses including attorneys' fees;

And that the \$100 filing fee previously deposited with the NASD, Inc. by the Claimant shall be retained by the NASD, Inc. as costs of this proceeding.


ALISON K. SCHULER, ESQ.