

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Clyde and Delores Rockhold

vs.

Claimant(s)

E.F. Hutton & Co., Inc.

Respondent(s)

AWARD

88-2616

The Arbitrator, Richard C. Moenning, selected to review and determine a matter in controversy between the above-mentioned Claimant and Respondents, set forth in a submission to arbitration signed by the parties on May 16, 1988 and November 23, 1988 respectively;

And, that having reviewed and considered the proofs of the parties, has decided and determined that in full and final settlement of the above-captioned matter, that the Respondent shall pay the Claimant the amount of Three Thousand Three Hundred Seventy-Nine Dollars and Sixty Cents (\$3,379.60) plus interest of 10.58% per annum from November 13, 1987 to the date the award is paid;

And, that the Respondent pay the cost and expenses excluding attorney's fees of the Claimant;

And, that the \$100.00 filing fees previously deposited with the NASD, Inc. by the Claimant shall be retained by the NASD for administrative costs and reimbursed by the Respondent to the Claimant.