

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Neil Ian Feinberg

Claimant(s)

vs.

Evans & Co.

Respondent(s)

NOTICE OF ARBITRATION

AWARD

88-02642

CASE SUMMARY

Claimant(s) Neil Ian Feinberg alleged that Respondent(s) Evans & Co. was negligent in handling Claimant's account. Respondent(s) denies all allegations.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Neill Edward Hannon, Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on August 16, 1988 and by Respondent(s) on February 8, 1989. The Arbitrator, having considered the proofs of the parties, has determined in full and final resolution of the issue submitted for determination as follows:

The claim of the Claimant shall be and hereby is dismissed in all respects.

The parties shall each bear their respective costs including attorney's fees.

The \$100.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) will be retained by the NASD, Inc. as costs of this proceeding.

August 23, 1989