

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Eileen & Stephen Weiss

Claimant(s)

vs.

Merrill Lynch, Pierce,
Fenner & Smith, Inc.
and Jeffrey Danzinger

Respondent(s)

AWARD

88-02672

The Arbitrator, Neill Edward Hannon, Esq., selected to review and determine a matter in controversy between the above-mentioned Claimant and Respondents, set forth in a submission to arbitration signed by the parties on August 5, 1988, December 30, 1988 and December 28, 1988, respectively;

And, that having reviewed and considered the proofs of the parties, has decided and determined that in full and final settlement of the above-captioned matter, that the two (2) Respondents are jointly and severally liable and will repurchase the Claimant's shares for the sum of Four Thousand One Hundred Eighty Eight Dollars and Eighty-Three Cents (\$4,188.83);

And, that each party shall bear its own cost and expenses including attorney's fees;

And, that the \$100.00 filing fees previously deposited with the NASD, Inc. by the Claimant shall be refunded to the Claimant by the Respondents.