

In the Matter of the Arbitration Between

Claimant

Case #88-02856

vs.

Award

Respondent

1. Respondent Prudential-Bache Securities, Inc. shall be liable for and pay the Claimant Joost A. Gompels Five Thousand Dollars and No Cents (\$5,000.00), such amount represents the actual damages incurred.
2. The claim of the Claimant for punitive damages against the Respondent is denied.
3. The parties shall each bear their respective costs including attorneys' fees.
5. Pursuant to Section 43 of the Code of Arbitration Procedure, the National Association of Securities Dealers, Inc. shall retain the Seven Hundred Fifty Dollar and No Cents (\$750.00) filing fee previously deposited by the Claimant.

Christopher J. Moran, Jr.

Marshall Elton Wolf, Jr.

Mary Katherine Hodgson