

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Edward J. and Roberta S.
Primeau

Claimant(s)

vs.

Dillon Securities, Inc.
and Mary Pritzl

Respondent(s)

NOTICE OF ARBITRATION
AWARD
88-03116

CASE SUMMARY

Claimant Edward J. & Roberta S. Primeau alleged that Respondent Dillon Securities, Inc. and Mary Pritzl misrepresented material facts concerning Claimant's investment with Respondent. Respondents deny all allegations, and further, Respondent Dillon Securities, Inc. cross-claims co-respondent Mary Pritzl for any liabilities incurred from this proceeding.

RELIEF REQUESTED

Claimant Edward J. & Roberta S. Primeau requested damages of Two Thousand Two Hundred Fifty Dollars and No Cents (\$2,250.00). Respondents requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Perry E. Wallace, Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant on October 1, 1988 and by Respondents on November 25, 1988. The Arbitrator, having considered the proofs of the parties, has determined in full and final resolution of the issue submitted for determination as follows:

The claim against Respondents shall be dismissed in its entirety;

And, the cross-claim of Dillon Securities against Mary Pritzl shall also be dismissed.

The parties shall each bear their respective costs including attorney's fees.

The \$25.00 filing fee previously deposited with the NASD, Inc. by the Claimant shall be retained by the NASD as costs of this proceeding.

August 3, 1989