

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Mary Bianco

Claimant(s)

vs.

John Hancock Insurance Co.,
Armand Fiordelise,
and John Hancock
Distributors, Inc.

Respondent(s)

NOTICE OF ARBITRATION

AWARD

88-03117

CASE SUMMARY

Claim by investor whose check was lost in mail or otherwise, against insurance company investment house for lost income when investment was not consummated. Respondent defends on basis that investment was not made when its home office received nothing.

RELIEF REQUESTED

Claimant(s) Mary Bianco requested damages of One Thousand Dollars and No Cents (\$1,000.00). Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Langdon T. Owen, Jr., Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on October 31, 1988 and by Respondent(s) on March 9, 198 and February 27, 1989. The Arbitrator, having considered the proofs of the parties, has determined in full and final resolution of the issue submitted for determination as follows:

The claim of the Claimant shall be and hereby is dismissed in all respects.

The parties shall each bear their respective costs including attorney's fees.

The \$25.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) will be retained by the NASD, Inc. as costs of this proceeding.

August 23, 1989