

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Mrs. Yocheved Rivkah Adelman

Claimant(s)

vs.

ISFA Corp  
d/b/a INVEST

Respondent(s)

NOTICE OF ARBITRATION  
AWARD

88-03263

CASE SUMMARY

Claimant Mrs. Yocheved Rivkah Adelman alleged that Respondent ISFA Corp. d/b/a INVEST negligently mishandled Claimant's account held with Respondent. Respondent denies Claimant's allegations.

RELIEF REQUESTED

Claimant Mrs. Yocheved Rivkah Adelman requested damages of One Thousand Three Hundred Two Dollars and No Cents (\$1,302.00). Respondent requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Thomas B. Corey, Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant on October 12, 1988 and by Respondent on March 1, 1989. The Arbitrator, having considered the proofs of the parties, has determined in full and final resolution of the issue submitted for determination as follows:

The Respondent shall be liable to the Claimant for the total amount of One Thousand One Hundred Twenty Four Dollars and Twelve Cents (\$1,124.12).

The parties shall each bear their respective costs including attorney's fees.

The \$25.00 filing fee previously deposited with the NASD, Inc. by the Claimant shall be retained by the NASD as costs of this proceeding and reimbursed by the Respondent to the Claimant.

August 18, 1989