

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Marian T. Parker

Claimant(s)

vs.

Paine Webber,
Jackson & Curtis, Inc. and
Fray Johns

Respondent(s)

AWARD
88-3277

The Arbitrator, Gerald Thomas Grady, Jr., having been selected to review and determine a matter in controversy between the above-mentioned Claimant and Respondents, set forth in a submission to arbitration signed by the parties on October 11, 1988, April 12, 1989 and April 14, 1989, respectively;

And, that having reviewed and considered the proofs of the parties, has decided and determined that in full and final settlement of the above-captioned matter, that the three (3) Respondents are jointly and severally liable and will pay to the Claimant the sum of Three Thousand Four Hundred Dollars (\$3,400.00) plus interest at the rate of 10% per year from April 22, 1983 to the date of the award payment;

And, that each party shall bear its own cost and expenses including attorney's fees;

And, that the \$100.00 filing fees previously deposited with the NASD, Inc. by the Claimant shall be refunded to the Claimant jointly by the Respondents.