

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Marvin Kolodzik

Claimant(s)

vs.

Blinder, Robinson & Co., Inc.

vs.

Thomas Christoffersen

Respondent(s)

NOTICE OF ARBITRATION

AWARD

88-03604

CASE SUMMARY

Claimant(s) Marvin Kolodzik alleged that Respondent(s) Blinder, Robinson & Co., Inc. committed unauthorized trades within Claimant's account held with Respondents. Respondent(s) deny Claimant's allegations. In addition, the Respondent Blinder, Robinson & Co., Inc. filed a third party claim against Thomas Allen Christoffersen for any liabilities arising out of this proceeding.

RELIEF REQUESTED

Claimant(s) Marvin Kolodzik requested damages of Four Thousand Ten Dollars and No Cents (\$4,010.00). Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Thomas B. Corey, Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on November 15, 1988 and by Respondent(s) on January 20, 1988, respectively;

And, that the Arbitrator, having considered the proofs of the Parties, including any additional documentary submissions of the Claimant(s) and the Respondent(s) has decided and determined in full and final resolution of the issue submitted for determination as follows:

The Respondent shall be liable to the Claimant for the total amount of Four Thousand Ten Dollars and No Cents (\$4,010.00).

The third party claim against Thomas Allen Christoffersen shall be dismissed.

The parties shall each bear their respective costs including attorney's fees.

The \$100.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) shall be retained by the NASD as costs of this proceeding and shall be reimbursed by the Respondent to the Claimant.

October 23, 1989

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