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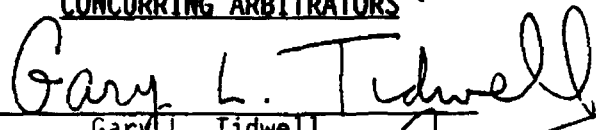
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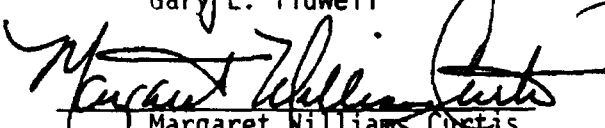
AWARD

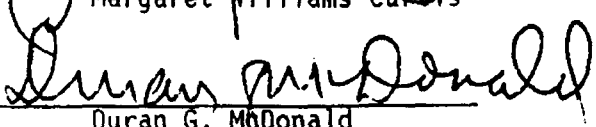
On December 14, 1989 and January 29, 1990, the undersigned arbitrators heard the controversy between the parties as set forth in submissions to arbitration signed by Claimant Ruby M. Sparks on November 4, 1988, and by Respondent Interstate Securities Corporation on January 24, 1989, and by Respondent Roger Price on January 25, 1989. The hearings were conducted in Charlotte, N.C. and consisted of four (4) hearing sessions. The arbitration panel, having considered the pleadings, the testimony, and the evidence presented at the hearing, has determined in full and final resolution of the issues submitted for determination as follows:

1. On the Claimant's claim of unsuitability, Respondents Interstate Securities Corp. and Roger Price be and hereby are jointly and severally liable and shall pay to the Claimant the sum of Twelve Thousand Six Hundred Eighty Eight Dollars and Ninety Six Cents (\$12,688.96), inclusive of interest.
2. On the Claimant's fraud claim, Respondents Interstate Securities Corp. and Roger Price be and hereby are jointly and severally liable and shall pay to the Claimant the sum of Eleven Thousand Eighty One Dollars and Eighteen Cents (\$11,081.18), inclusive of interest.
3. All other claims be and hereby are dismissed in their entirety.
4. The parties each shall bear their respective costs including attorney's fees.
5. Pursuant to Section 43 of the Code of Arbitration Procedure, the National Association of Securities Dealers, Inc. shall retain the \$400.00 filing fee previously deposited by the Claimant and the Claimant be and hereby is liable and shall pay to the National Association of Securities Dealers, Inc. the sum of Eight Hundred Dollars and No Cents (\$800.00) and the Respondents be and hereby are jointly and severally liable and shall pay to the National Association of Securities Dealers, Inc. the sum of Four Hundred Dollars and No Cents (\$400.00), as costs of the four (4) hearing sessions conducted in this matter.

CONCURRING ARBITRATORS

  
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Gary L. Tidwell

  
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Margaret Williams Curtis

  
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Duran G. McDonald

DATED: March 20th, 1990