

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Russell J. Harris

Claimant(s)

vs.

Alan Wallace and
Blinder, Robinson & Co., Inc.

Respondent(s)

NOTICE OF ARBITRATION
AWARD
88-03627

CASE SUMMARY

Claimant(s) Russell J. Harris alleged that Respondent(s) Alan Wallace and Blinder, Robinson & Co., Inc. made misrepresentations. Respondent(s) maintain that Claimant's losses, if any, were caused or contributed to by Claimant's own fault or conduct.

RELIEF REQUESTED

Claimant(s) Russell J. Harris requested damages of One Thousand Two Hundred Fifty Dollars and No Cents (\$1,250.00). Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Virginia M. Handal, Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on November 18, 1988 and by Respondent(s) on February 14, 1989. The Arbitrator, having considered the proofs of the parties, has determined in full and final resolution of the issue submitted for determination as follows:

The claim of the Claimant is dismissed in all respects.

The parties shall each bear their respective costs including attorney's fees.

The \$25.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) shall be retained by the NASD, Inc. as costs of this proceeding.

July 28, 1989