

**NATIONAL ASSOCIATION OF SECURITIES DEALERS**

**In the Matter of the Arbitration Between**

**Warren G. and  
Mildred T. Judge**

**Claimant(s)**

**vs.**

**Blinder, Robinson & Co., Inc.**

**Respondent(s)**

**NOTICE OF ARBITRATION**

**AWARD**

**88-03641**

**CASE SUMMARY**

Claimant(s) Warren G. and Mildred T. Judge alleged that Respondent(s) Blinder, Robinson & Co., Inc. made misrepresentations with respect to concessions that applied to the Roadmaster trades. Respondent(s) maintain that Claimants' losses, if any, were caused by or contributed to by Claimants' own fault or conduct.

**RELIEF REQUESTED**

Claimant(s) Warren G. and Mildred T. Judge requested damages of One Thousand Five Hundred Sixty Two Dollars and No Cents (\$1,562.00). Respondent(s) requested dismissal of claim and costs.

**AWARD**

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Janet Weiss, was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on November 18, 1988 and by Respondent(s) on March 14, 1989, respectively;

And, that the Arbitrator, having considered the proofs of the Parties, including any additional documentary submissions of the Claimant(s) and the Respondents(s) has decided and determined in full and final resolution of the issue submitted for determination as follows:

The Respondent is liable and shall pay the sum of One Thousand Five Hundred Sixty Two Dollars and Fifty Cents (\$1,562.50) plus interest of 10% beginning 10 days after Respondent is notified of judgement until payment of said judgement.

The parties shall each bear their respective costs including attorney's fees.

The \$25.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) shall be refunded to the Claimant by the Respondent.

November 13, 1989